## P30464.A02

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Masahiro NAKANISHI et al. Group Art Unit: Not Yet Assigned

Appl. No. : 10/598,307 (National Stage

of PCT/JP2005/003136)

Examiner : Not Yet Assigned

I.A. Filed: February 25, 2005

Confirmation No.: 2576

For : SEMICONDUCTOR MEMORY DEVICE

## INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
U.S. Patent and Trademark Office
Customer Service Window, Mail Stop AMENDMENT
Randolph Building
401 Dulany Street
Alexandria, VA 22314

Sir:

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and §§1.97-1.98, ApplicantS hereby bring to the attention of the Examiner the following information.

The following documents were cited in an International Search Report with respect to International Patent Application No. PCT/JP2005/003136, of which the present application is the U.S. National Stage Application. A copy of the International Search Report has been filed concurrently with the present application and should thus have already been brought to the attention of the Examiner.

(1) Japanese Laid-Open Patent Publication No. 2001-5928 A, together with an English language Abstract of the same; and

مخت

(2) Japanese Laid-Open Patent Publication No. 2003-15929 A, together with an English language of the same, and patent family member U.S. Patent Application Publication No. 2003/0189860 A1. Applicants note that the Japanese document is cited beginning at page 5 of the specification of the above-captioned application.

The relevance of the documents cited in the International Search Report, as ascertained with respect to the international claims by the International Examiner, is set forth in the International Search Report.

Applicants further bring to the attention of the Examiner the following documents.

- (1) U.S. Patent Application No. 10/597,650 to KASAHARA et al., which was filed on August 2, 2006;
- (2) U.S. Patent Application No. 11/568,470 to TAMURA et al., which was filed on October 30, 2006; and
- (3) U.S. Patent Application No. 11/568,564 to KASAHARA et al., which was filed on November 1, 2006.

Further to 37 C.F.R. §1.98 (a)(2)(ii) and the U.S. Patent and Trademark Office's decision to waive the requirement under 37 C.F.R. §1.98 (a)(2)(iii) if the U.S. patent application was filed after June 30, 2003, copies of the U.S. patent publication and patent applications are not enclosed herewith. However, if any copy is needed, the Examiner is respectfully requested to contact the undersigned.

Also, when the above-noted pending applications are published, the Examiner is respectfully requested to list their publication numbers on a PTO 1449 Form (or PTO

P30464.A02

892 Form) to confirm consideration thereof.

Applicants respectfully request that the Examiner consider the above material and cite the same. Copies of the above-noted foreign documents are attached hereto, and all of the documents are listed on an attached PTO-1449 Form. The Examiner is requested to initial the appropriate spaces on the attached Form and to return a copy of the completed Form to Applicants with the next official communication in the present application.

Should the Examiner have any questions, the Examiner is invited to contact the undersigned at the below-listed telephone number.

Respectfully submitted,
Masahiro NAKANISHI et al.

William Pieprz Reg. No. 33,630

Bruce H. Bernstein Reg. No. 29,027

November 21, 2006 GREENBLUM & BERNSTEIN, P.L.C. 1950 Roland Clarke Place Reston, Virginia 20191 (703) 716-1191

FORM PTC				U.	S. D Pate	epa ent a	irtment of Co ind Tradema	ommerce ork Office		P30464				10/598,307			
•	STA	TEMENT Applicant Masahiro NAKA					KANISH	NISHI et al.									
BY APPLICANT (Use several sheets if necessa							ary)		I.A. Filing Date February 25, 2005				Group 2576				
								U.S. PATEN	IT DOCUME	E١	ITS		· · · · · · · · · · · · · · · · · · ·		1		
EXAMINER INITIAL			DOCUM	ENT NUMBER				DATE	NAME		AME		CLASS	SUBCLASS		FILING DATE IF APPROPRIATE	
	2003/	0 1 8 9 8 6 0						10/09/03	TAKEUCHI et al.								
				•		-						Î					
									-			1					
										_	· ,						
•																	
	<u> </u>																<del> </del>
							-										
								,									
													**				
		•	٠					FOREIGN PAT	ENT DOCU	JM	IENTS			•			
		DOCUMENT NUMBER						DATE	COUNTRY			CI	CLASS SUB		TRANSLATION YES NO		
	2001	- 0 0 5 9 2 8			01/12/01						306	CLASS	1				
	2003	-			9				JAPAN								
	2003	-	<u> </u>					01/1//03	OAI AIV								
									<del> </del>				-				
									<del> </del>				-				
<u>_</u>		L	0	THE	R DO	CUM	ENT	S (Including A	l uthor. Title.	. C	Date, Pertin	ent P	ages. Etc	.)			
	1	Fna								_							
	2																
	3 U.S. Application No. 10/597,650 to KASAHARA et al., filed August 2, 2006. 4 U.S. Application No. 11/568,470 to TAMURA et al., filed October 30, 2006.																
	5 U.S. Application No. 11/568,564 to KASAHARA et al., filed November 1, 2006.																
	V == 1			-		7											
EXAMINER									DAT	TE	CONSIDE	RED					
								ation is in confo on to applicant.		n N	/IPEP 609; (	draw	line throug	h cita	tion if not in	conforman	ice and not